Requirements for Public Disclosure of Potential Conflicts of Interest in Research

Public disclosure is a management plan element that serves to protect investigators and Duke by identifying all competing interests that the investigator may have or appear to have in relation to their research. Complete transparency is extremely beneficial to dispel any belief that financial interests are being hidden from the public eye.

When is public disclosure required?

Public disclosure is required in any public presentation (see definitions below) of data from research that is related to the investigator’s financial interests. The statement must be included as applicable for all authors on the presentation or publication.

Note: It is the responsibility of each author with a financial interest to ensure that these public disclosures are included in relevant public presentations.

Public presentations include:

- Conferences
- Lectures
- Speaking engagement
- Journal article, including review articles, letters to the editor, etc.

Research related to the investigator’s financial interests includes any research project that:

- Is fully or partially sponsored by the company in which the investigator has a financial interest.
- Involves technology licensed to or owned by the company in which the investigator has a financial interest (including manufacturers of the investigational product used in the research).
- Any other research project not covered where the conduct or outcome of the research may appear to affect the company in which the investigator has a financial interest (including financial interests in companies that are direct competitors with the sponsor of the research or the manufacturer of the investigational product).

**Investigators should follow journal or scientific organization policies for submission of financial disclosure information.**
Examples

"Dr. __________ has received personal compensation from the sponsor of this study in the past for *his/her* work on ________________ and may receive personal compensation from the sponsor in the future."

*Standard language for when the PI is the inventor of the technology being used in the study:*

"Dr. __________ [and other study team members] *has/have* developed the technology that is being used in the study. If the technology is commercially successful in the future, the developers and Duke University may benefit financially."